6712-01

FEDERAL COMMUNICATIONS COMMISSION

Information Collection Being Reviewed by the Federal Communications Commission

**Under Delegated Authority** 

**AGENCY:** Federal Communications Commission.

**ACTION:** Notice and request for comments.

**SUMMARY:** As part of its continuing effort to reduce paperwork burden and as required by the

Paperwork Reduction Act (PRA) of 1995 (44 U.S.C. 3501 - 3520), the Federal Communications

Commission invites the general public and other Federal agencies to take this opportunity to

comment on the following information collection(s). Comments are requested concerning:

whether the proposed collection of information is necessary for the proper performance of the

functions of the Commission, including whether the information shall have practical utility; the

accuracy of the Commission's burden estimate; ways to enhance the quality, utility, and clarity of

the information collected; ways to minimize the burden of the collection of information on the

respondents, including the use of automated collection techniques or other forms of information

technology; and ways to further reduce the information burden for small business concerns with

fewer than 25 employees. The FCC may not conduct or sponsor a collection of information

unless it displays a currently valid OMB control number. No person shall be subject to any

penalty for failing to comply with a collection of information subject to the Paperwork Reduction

Act (PRA) that does not display a valid OMB control number.

**DATES:** Written Paperwork Reduction Act (PRA) comments should be submitted on or before

[INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL

**REGISTER**]. If you anticipate that you will be submitting PRA comments, but find it difficult

to do so within the period of time allowed by this notice, you should advise the FCC contact

listed below as soon as possible.

ADDRESSES: Submit your PRA comments to Nicholas A. Fraser, Office of Management and

Budget, via fax at 202-395-5167 or via Internet at Nicholas A. Fraser@omb.eop.gov and to

Benish Shah, Federal Communications Commission, via the Internet at Benish.Shah@fcc.gov.

To submit your PRA comments by email send them to: PRA@fcc.gov.

FOR FURTHER INFORMATION CONTACT: Benish Shah, Office of Managing Director,

(202) 418-7866.

**SUPPLEMENTARY INFORMATION:** 

**OMB Control Number:** 3060-0809.

**Title:** Communications Assistance for Law Enforcement Act (CALEA).

Form Number: N/A.

Type of Review:	Extension	of a	currently	approved	collection.

**Respondents:** Business or other for profit entities.

**Number of Respondents**: 200 respondents; 285 responses.

**Estimated Time per Response:** 12 hours average (range of 7.5 to 80 hours).

**Frequency of Response:** On occasion reporting requirements, recordkeeping requirement and third party disclosure.

**Obligation to Respond:** Mandatory. Statutory authority for this information collection is contained in sections 105, 107(c), 109(b) and 301 of the Communications Assistance for Law Enforcement Act (CALEA), 47 U.S.C. 1004, 1006(c), 1008(b), and 229.

**Total Annual Burden:** 3,475 hours.

**Total Annual Costs:** N/A.

**Privacy Impact Assessment:** No impact(s).

**Nature and Extent of Confidentiality:** Pursuant to section 0.457(g) of the Commission's rules, the information in the CALEA system security filings and petitions will not be made routinely available for public inspection. Section 107(c) and section 109(b) filings are entitled to confidential treatment under the Freedom of Information Act. The Commission has directed respondents to file their petitions under a general claim of confidential or proprietary protection, subject only to scrutiny by the Commission and the Attorney General who is consulted in section 107(c) adjudications and is a party to all section 109(b) adjudications.

**Needs and Uses:** The Communications Assistance for Law Enforcement Act (CALEA) requires the Commission to create rules that regulate the conduct and recordkeeping of lawful electronic surveillance. CALEA was enacted in October 1994 to respond to rapid advances in telecommunications technology and eliminates obstacles faced by law enforcement personnel in conducting electronic surveillance. Section 105 of CALEA requires telecommunications carriers to protect against the unlawful interception of communications passing through their systems. Law enforcement officials use the information maintained by telecommunications carriers to

determine the accountability and accuracy of telecommunications carriers' compliance with lawful electronic surveillance orders.

On May 12, 2006, the Commission released a Second Report and Order and Memorandum Opinion and Order in ET Docket No. 04-195, FCC 06-56, which became effective August 4, 2006, except for §§ 1.20004 and 1.2005 of the Commission's rules, which became effective on February 12, 2007. The Second Report and Order established new guidelines for filing section 107(c) petitions, section 109(b) petitions, and monitoring reports (FCC Form 445). CALEA section 107(c)(1) permits a petitioner to apply for an extension of time, up to two years from the date that the petition is filed, and to come into compliance with a particular CALEA section 103 capability requirement. CALEA section 109(b) permits a telecommunication carrier covered by CALEA to file a petition with the FCC and an application with the Department of Justice (DOJ) to request that DOJ pay the costs of the carrier's CALEA compliance (costshifting relief) with respect to any equipment, facility or service installed or deployed after January 1, 1995. The Second Report and Order required several different collections of information:

(a) Within 90 days of the effective date of the Second Report and Order, facilities based broadband Internet access and interconnected Voice over Interconnected Protocol (VOIP) providers newly identified in the First Report and Order in this proceeding were required to file system security statements under the Commission's rules. (Security systems are currently approved under the existing OMB 3060-0809 information collection).

(b) All telecommunications carriers, including broadband Internet access and interconnected

VoIP providers, must file updates to their systems security statements on file with the

Commission as their information changes.

(c) Petitions filed under Section 107(c), request for additional time to comply with CALEA;

these provisions apply to all carriers subject to CALEA and are voluntary filings.

(d) Section 109(b), request for reimbursement of CALEA; these provisions apply to all carriers

subject to CALEA and are voluntary filings.

Federal Communications Commission.

Marlene H. Dortch,

Secretary,

Office of the Secretary,

Office of Managing Director.

**BILLING CODE 6712-01-P** 

[FR Doc. 2013-26679 Filed 11/06/2013 at 8:45 am; Publication Date: 11/07/2013]